

(19)



Europäisches Patentamt

European Patent Office

Office européen des brevets



(11) Publication number:

**0 577 215 A1**

(12)

## EUROPEAN PATENT APPLICATION

(21) Application number: 93201883.1

(51) Int. Cl.<sup>5</sup>: **A61K 9/14**, A61K 9/51

(22) Date of filing: 29.06.93

(30) Priority: 01.07.92 US 908125

(43) Date of publication of application:  
05.01.94 Bulletin 94/01

(84) Designated Contracting States:  
**AT BE CH DE DK ES FR GB GR IE IT LI LU MC  
NL PT SE**

(71) Applicant: **STERLING WINTHROP INC.**  
90 Park Avenue  
New York, NY 10016(US)

(72) Inventor: Liversidge, Gary G., c/o Sterling  
Winthrop Inc.  
9 Great Valley Parkway  
Malvern, PA 19355(US)  
Inventor: Liversidge, Elaine, c/o Sterling  
Winthrop Inc.  
9 Great Valley Parkway  
Malvern, PA 19355(US)  
Inventor: Sarpotdar, Pramod, c/o Sterling  
Winthrop Inc.  
9 Great Valley Parkway  
Malvern, PA 19355(US)

(74) Representative: Halle, Helen Cynthia et al  
Kodak Limited  
Patent Department  
Headstone Drive  
Harrow, Middlesex HA1 4TY (GB)

(54) Surface modified anticancer nanoparticles.

(57) Dispersible particles consisting essentially of a crystalline anticancer agent having a surface modifier adsorbed on the surface thereof in an amount sufficient to maintain an effective average particle size of less than about 1000 nm. Anticancer compositions comprising the particles exhibit reduced toxicity and/or enhanced efficacy, and can be administered by intravenous injection.

**EP 0 577 215 A1**

This invention relates to anticancer agents in the form of particles, to anticancer compositions comprising the particles, and to methods employing the particles.

The therapeutic index is a measure of how selective a drug is at producing its desired effects and can be defined as the ratio of the median lethal dose to the median effective dose, i.e.  $(LD_{50}/ED_{50})$  (see Goodman, and Gilman, *The Pharmacological Basis of Therapeutics*, Eighth Edition, p. 68-69). Virtually all anticancer agents have a low therapeutic index, e.g. less than about 1.0. Increasing the therapeutic index, e.g. by reducing toxicity or enhancing efficacy would provide more latitude to physicians in their duty of administering anticancer drugs to patients in need thereof. Consequently, methods to reduce toxicity and/or enhance efficacy of anticancer drugs and thus increase the therapeutic indices of such drugs would be of great value in the treatment of cancers.

In addition, poorly water-soluble drugs, such as poorly water-soluble anticancer agents, are not readily injectable via an intravenous (IV) bolus injection. The creation of injectable forms of poorly soluble drugs represents a formidable problem. It would be highly desirable to be able to provide poorly soluble drugs, such as poorly soluble anticancer agents, in an IV bolus injectable form.

We have discovered that anticancer compositions comprising anticancer agents in the form of surface modified nanoparticles exhibit reduced toxicity and/or enhanced efficacy.

More particularly, in accordance with this invention, there are provided particles consisting essentially of a crystalline anticancer agent having a surface modifier adsorbed on the surface thereof in an amount sufficient to maintain an effective average particle size of less than about 1000 nm.

This invention further provides an anticancer composition comprising the above-described particles.

In another embodiment of the invention, there is provided the use of the above-described particles or composition thereof for the preparation of a medicament for the treatment of cancer.

It is a particularly advantageous feature of this invention that anticancer compositions are provided exhibiting reduced toxicity and/or improved efficacy.

It is a further advantageous feature of this invention that compositions are provided featuring poorly soluble anticancer agents that can be administered by IV bolus injection and that can exhibit prolonged circulation in the blood pool after such injection.

This invention is based partly on the discovery that surface modified anticancer nanoparticles exhibit reduced toxicity and/or enhanced efficacy. While the invention is described herein primarily in connection with its preferred class of drugs, i.e. anticancer agents, including immunosuppressive agents, it is also useful in conjunction with poorly water soluble drugs, particularly those with low therapeutic indices, from other classes of drug substances.

The particles of this invention comprise an anticancer agent. The anticancer agent is present in one or more discrete crystalline phases. The crystalline phase differs from an amorphous, i.e. non-crystalline phase which results from conventional solvent precipitation techniques for the preparation of particles in the submicron size range, such as described in U.S. Patent No. 4,826,689.

The invention can be practised with a wide variety of anticancer agents. However, the anticancer agent must be poorly soluble and dispersible in at least one liquid medium. By "poorly soluble", it is meant that the drug substance has a solubility in the liquid dispersion medium, e.g. water, of less than about 10 mg/ml, and preferably, of less than 1 mg/ml at processing temperature, e.g. room temperature. The preferred liquid dispersion medium is water. However, the invention can be practised with other liquid media in which the anticancer agent is dispersible including, for example, aqueous salt solutions, safflower oil, and solvents such as ethanol, t-butanol, hexane, and glycol. The pH of the aqueous dispersion media can be adjusted by techniques known in the art.

The anticancer agent preferably is selected from alkylating agents, antimetabolites, natural products, hormones and antagonists, and miscellaneous agents, such as radiosensitizers.

Examples of alkylating agents include alkylating agents having the bis-(2-chloroethyl)amine group such as, e.g., chlormethine, chlorambucil, melphalan, uramustine, mannometrine, mechlorethamine, cyclophosphamide, ifosfamide, and trifosfamide;

alkylating agents having a substituted aziridine group such as, e.g., tretamine, thiotepa, triaziquone and mitomycin;

alkylating agents of the alkyl sulfonate type, such as, e.g., busulfan, piposulfan, and piposulfam;

alkylating N-alkyl-N-nitrosourea derivatives, such as, e.g., carmustine, lomustine, semustine, or streptozotocine; and alkylating agents of the mitobronitole, dacarbazine and procarbazine type.

Examples of antimetabolites include folic acid analogs, such as, e.g., methotrexate;

pyrimidine analogs such as, e.g., fluorouracil, floxuridine, tegafur, cytarabine, idoxuridine, and flucytosine; and

purine derivatives such as, e.g., mercaptopurine, thioguanine, azathioprine, tiampirine, vidarabine,

pentostatin, and puromycin.

Examples of natural products include vinca alkaloids, such as, e.g., vinblastine and vincristine; epipodophylotoxins, such as, e.g., etoposide and teniposide; antibiotics, such as, e.g., adriamycin, daunomycin, doctinomycin, daunorubicin, doxorubicin, mith-

5 ramycin, bleomycin, and mitomycin;

enzymes, such as, e.g., L-asparaginase;

biological response modifiers, such as, e.g.,  $\alpha$ -interferon;

camptothecin;

taxol; and

10 retinoids, such as retinoic acid.

Examples of hormones and antagonists include adrenocorticosteroids, such as, e.g., prednisone;

progestins, such as, e.g., hydroxyprogesterone caproate, medroxyprogesterone acetate and megestrol acetate;

estrogens, such as, e.g., diethylstilbestrol and ethinyl estradiol;

15 antiestrogens, such as, e.g., tamoxifen;

androgens, such as, e.g., testosterone propionate and fluoxymesterone;

antiandrogens, such as, e.g., flutamide;

and gonadotropin-releasing hormone analogs, such as, e.g., leuprolide.

Examples of miscellaneous agents include radiosensitizers, such as, e.g., 1,2,4-benzotriazin-3-amine

20 1,4-dioxide and 1,2,4-benzotriazine-7-amine 1,4-dioxide;

platinum coordination complexes such as, e.g., cisplatin and carboplatin;

anthracenediones, such as, e.g., mitoxantrone;

substituted ureas, such as, e.g., hydroxyurea;

and adrenocortical suppressants, such as, e.g., mitotane and aminoglutethimide.

25 In addition, the anticancer agent can be an immunosuppressive drug, such as, e.g., cyclosporine, azathioprine, sulfasalazine, methoxsalen and thalidomide.

The anticancer agents useful in the practice of this invention are known compounds and/or can be prepared by techniques known in the art.

The anticancer agent can be used alone or in combination with one or more anticancer agents.

30 The particles of this invention contain an anticancer agent as described above having a surface modifier adsorbed on the surface thereof. Useful surface modifiers are believed to include those which physically adhere to the surface of the anticancer agent but do not chemically bond to the anticancer agent.

Suitable surface modifiers can preferably be selected from known organic and inorganic pharmaceutical excipients. Such excipients include various polymers, low molecular weight oligomers, natural products and  
35 surfactants. Preferred surface modifiers include nonionic and anionic surfactants. Representative examples of excipients include gelatin, casein, lecithin (phosphatides), gum acacia, cholesterol, tragacanth, stearic acid, benzalkonium chloride, calcium stearate, glyceryl monostearate, cetostearyl alcohol, cetomacrogol emulsifying wax, sorbitan esters, polyoxyethylene alkyl ethers, e.g., macrogol ethers such as cetomacrogol 1000, polyoxyethylene castor oil derivatives, polyoxyethylene sorbitan fatty acid esters, e.g., the commercially available  
40 Tweens™, polyethylene glycols, polyoxyethylene stearates, colloidal silicon dioxide, phosphates, sodium dodecylsulfate, carboxymethylcellulose calcium, carboxymethylcellulose sodium, methylcellulose, hydroxyethylcellulose, hydroxypropylcellulose, hydroxypropylmethylcellulose phthalate, noncrystalline cellulose, magnesium aluminum silicate, triethanolamine, polyvinyl alcohol (PVA), and polyvinylpyrrolidone (PVP). Most of these excipients are described in detail in the *Handbook of Pharmaceutical*  
45 *Excipients*, published jointly by the American Pharmaceutical Association and The Pharmaceutical Society of Great Britain, the Pharmaceutical Press, 1986. The surface modifiers are commercially available and/or can be prepared by techniques known in the art. Two or more surface modifiers can be used in combination.

Particularly preferred surface modifiers include PVP, tyloxapol, poloxomers, such as Pluronic™ F68, F108 and F127, which are block copolymers of ethylene oxide and propylene oxide available from BASF,  
50 and poloxamines, such as Tetronic™ 908 (T908), which is a tetrafunctional block copolymer derived from sequential addition of ethylene oxide and propylene oxide to ethylenediamine available from BASF, dextran, lecithin, Aerosol OT™ (AOT), which is a dioctyl ester of sodium sulfosuccinic acid, available from American Cyanamid, Duponol™ P, which is a sodium lauryl sulfate, available from DuPont, Triton™ X-200, which is an alkyl aryl polyether sulfonate, available from Rohm and Haas, Tween 20, 40, 60 and 80, which are  
55 polyoxyethylene sorbitan fatty acid esters, available from ICI Speciality Chemicals, Span 20, 40, 60 and 80, which are sorbitan esters of fatty acids, Arlacel 20, 40, 60 and 80, which are sorbitan esters of fatty acids, available from Hercules Inc., Carbowax™ 3550 and 934, which are polyethylene glycols available from

Union Carbide, Crodesta™ F-110, which is a mixture of sucrose stearate and sucrose distearate, available from Croda Inc., Crodesta SL-40, which is available from Croda Inc., hexyldecyl trimethyl ammonium chloride (CTAC), bovine serum albumin and SA90HCO, which is  $C_{18}H_{37}CH_2(CON(CH_3)CH_2(CHOH)-4CH_2OH)_2$ . Surface modifiers which have been found to be particularly useful include PVP, Pluronic F-108, PVA and gum acacia.

The surface modifier is adsorbed on the surface of the anticancer agent in an amount sufficient to maintain an effective average particle size of less than about 1000 nm. The surface modifier does not chemically react with the anticancer agent or itself. Furthermore, the individually adsorbed molecules of the surface modifier are essentially free of intermolecular crosslinkages.

As used herein, particle size refers to a number average particle size as measured by conventional particle size measuring techniques well known to those skilled in the art, such as sedimentation field flow fractionation, photon correlation spectroscopy, or disk centrifugation. By "an effective average particle size of less than about 1000 nm" it is meant that at least 90% of the particles have a number average particle size of less than about 1000 nm when measured by the abovenoted techniques. In particularly preferred embodiments of the invention, the effective average particle size is less than about 400 nm. In some embodiments of the invention, the effective average particle size is less than about 300 nm. With reference to the effective average particle size, it is preferred that at least 95% and, more preferably, at least 99% of the particles have a particle size of less than the effective average, e.g. 1000 nm. In particularly preferred embodiments, essentially all of the particles have a size less than 1000 nm.

Motoyama et al, U.S. Patent 4,540,602 disclose that a solid drug can be pulverized in an aqueous solution of a water-soluble high molecular substance, and that as a result of such wet grinding, the drug is formed into finely divided particles ranging from 0.5  $\mu$ m or less to 5  $\mu$ m in diameter. However, there is no suggestion that particles having an average particle size of less than about 1  $\mu$ m can be obtained. Attempts to reproduce the wet grinding procedures described by Motoyama et al resulted in particles having an average particle size of much greater than 1  $\mu$ m.

The particles of this invention can be prepared by a method comprising the steps of dispersing an anticancer agent in a liquid dispersion medium and applying mechanical means in the presence of grinding media to reduce the particle size of the anticancer agent to an effective average particle size of less than about 1000 nm. The particles can be reduced in size in the presence of a surface modifier. Alternatively, the particles can be contacted with a surface modifier after attrition.

A general procedure for preparing the particles of this invention is set forth below. The anticancer agent selected is obtained commercially and/or prepared by techniques known in the art in a conventional coarse form. It is preferred, but not essential, that the particle size of the coarse anticancer agent selected be less than about 100  $\mu$ m as determined by sieve analysis. If the coarse particle size of the anticancer agent is greater than about 100  $\mu$ m, then it is preferred that the particles of the anticancer agent be reduced in size to less than 100  $\mu$ m using a conventional milling method such as airjet or fragmentation milling.

The coarse anticancer agent selected can then be added to a liquid medium in which it is essentially insoluble to form a premix. The concentration of the anticancer agent in the liquid medium can vary from about 0.1 - 60%, and preferably is from 5 - 30% (w/w). It is preferred, but not essential, that the surface modifier be present in the premix. The concentration of the surface modifier can vary from about 0.1 to about 90%, and preferably is 1 - 75%, more preferably 20-60%, by weight based on the total combined weight of the drug substance and surface modifier. The apparent viscosity of the premix suspension is preferably less than about 1000 centipoise.

The premix can be used directly by subjecting it to mechanical means to reduce the average particle size in the dispersion to less than 1000 nm. It is preferred that the premix be used directly when a ball mill is used for attrition. Alternatively, the anticancer agent and, optionally, the surface modifier, can be dispersed in the liquid medium using suitable agitation, e.g. a roller mill or a Cowles type mixer, until a homogeneous dispersion is observed in which there are no large agglomerates visible to the naked eye. It is preferred that the premix be subjected to such a premilling dispersion step when a recirculating media mill is used for attrition.

The mechanical means applied to reduce the particle size of the anticancer agent conveniently can take the form of a dispersion mill. Suitable dispersion mills include a ball mill, an attritor mill, a vibratory mill, a planetary mill, media mills such as a sand mill and a bead mill. A media mill is preferred due to the relatively shorter milling time required to provide the intended result, i.e. the desired reduction in particle size. For media milling, the apparent viscosity of the premix preferably is from about 100 to about 1000 centipoise. For ball milling, the apparent viscosity of the premix preferably is from about 1 up to about 100 centipoise. Such ranges tend to afford an optimal balance between efficient particle fragmentation and media erosion.

The grinding media for the particle size reduction step can be selected from rigid media preferably spherical or particulate in form having an average size less than about 3 mm and, more preferably, less than about 1 mm. Such media desirably can provide the particles of the invention with shorter processing times and impart less wear to the milling equipment. The selection of material for the grinding media is not believed to be critical. However, zirconium oxide, such as 95% ZrO stabilized with magnesia, zirconium silicate, and glass grinding media provide particles having levels of contamination which are believed to be acceptable for the preparation of pharmaceutical compositions. Further, other media, such as stainless steel, titania, alumina, and 95% ZrO stabilized with yttrium, are expected to be useful. Preferred media have a density greater than about 2.5 g/cm<sup>3</sup>.

The attrition time can vary widely and depends primarily upon the particular mechanical means and processing conditions selected. For ball mills, processing times of up to five days or longer may be required. On the other hand, processing times of less than 1 day (residence times of one minute up to several hours) have provided the desired results using a high shear media mill.

The particles must be reduced in size at a temperature which does not significantly degrade the anticancer agent. Processing temperatures of less than about 30 - 40 °C are ordinarily preferred. If desired, the processing equipment can be cooled with conventional cooling equipment. The method is conveniently carried out under conditions of ambient temperature and at processing pressures which are safe and effective for the milling process. For example, ambient processing pressures are typical of ball mills, attritor mills and vibratory mills. Processing pressures up to about 20 psi (1.4 kg/cm<sup>2</sup>) are typical of media milling.

The surface modifier, if it was not present in the premix, must be added to the dispersion after attrition in an amount as described for the premix above. Thereafter, the dispersion can be mixed, e.g. by shaking vigorously. Optionally, the dispersion can be subjected to a sonication step, e.g. using an ultrasonic power supply. For example, the dispersion can be subjected to ultrasonic energy having a frequency of 20 - 80 kHz for a time of about 1 to 120 seconds.

The relative amount of the anticancer agent and surface modifier can vary widely and the optimal amount of the surface modifier can depend, for example, upon the particular anticancer agent and surface modifier selected, the critical micelle concentration of the surface modifier if it forms micelles, the surface area of the anticancer agent, etc. The surface modifier preferably is present in an amount of about 0.1-10 mg per square meter surface area of the anticancer agent. The surface modifier can be present in an amount of 0.1-90%, preferably 0.5-80%, and more preferably 1-60% by weight based on the total weight of the dry particle.

A simple screening process has been developed whereby compatible surface modifiers and anticancer agents can be selected which provide stable dispersions of the desired particles. First, coarse particles of an anticancer agent are dispersed in a liquid in which the anticancer agent is essentially insoluble, e.g. water at 2% (w/v) and milled for 120 hours in a roller mill under the following milling conditions:

Grinding vessel:	8 oz.(250 ml) glass jar
Available volume of grinding vessel:	250 ml
Media volume:	120 ml
Media type:	1.0 mm pre-cleaned zirconium oxide beads (distributed by Zircoa Inc.)
Milling time:	120 hours
Slurry volume:	60 ml
RPM:	92
Room Temperature	

The slurry is separated from the milling media by conventional means, e.g. by pouring the slurry out of the vessel, or by using a pipette. The separated slurry is then divided into aliquots and surface modifiers are added at a concentration of between 2 and 50% by weight based on the total combined weight of the anticancer agent and surface modifier. The dispersions are then sonicated (1 minute, 20 kHz) or vortexed using a multitubed vortexer for one minute, to disperse agglomerates and subjected to particle size analysis, e.g. by photon correlation spectroscopy (PCS) and/or by examination under an optical microscope (1000 x magnification). If a stable dispersion is observed, then the process for preparing the particular anticancer agent/surface modifier combination can be optimized in accordance with the teachings above. By stable it is meant that the dispersion exhibits no flocculation or particle agglomeration visible to the naked eye and, preferably, when viewed under the optical microscope at 1000x, at least 15 minutes, and

preferably at least two days or longer, after preparation. In addition, preferred particles exhibit no flocculation or agglomeration when dispersed in 0.1 N HCl and/or phosphate buffered saline, pH 7.4 (PBS) or rat plasma.

The resulting dispersion is stable and consists of the liquid dispersion medium and the above-described particles. The dispersion of surface modified anticancer agent nanoparticles can be spray coated onto sugar spheres or onto a pharmaceutical excipient in a fluid-bed spray coater by techniques well known in the art.

Anticancer pharmaceutical compositions according to this invention include the particles described above and a pharmaceutically acceptable carrier therefor. Suitable pharmaceutically acceptable carriers are well known to those skilled in the art. These include non-toxic physiologically acceptable carriers, adjuvants or vehicles for parenteral injection, for oral administration in solid or liquid form, for rectal administration, nasal administration, intramuscular administration, subcutaneous administration, and the like.

A method of treating a mammal with a composition as described herein comprises the step of administering to the mammal in need of treatment an effective amount of the above-described anticancer composition. The selected dosage level of the anticancer agent for treatment is effective to obtain a desired therapeutic response for a particular composition and method of administration. The selected dosage can be readily determined by one skilled in the art and depends upon the particular anticancer agent, the desired therapeutic effect, the route of administration, the desired duration of treatment and other factors.

It is a particularly advantageous feature that the anticancer compositions of this invention exhibit reduced toxicity and/or enhanced efficacy as illustrated in the examples that follow. Further, the particles of this invention exhibit prolonged circulation in the blood pool.

Moreover, anticancer agents which heretofore could not be administered by injection, when prepared as nanoparticles and formulated in anticancer compositions according to this invention, can be effectively administered by injection, e.g. by an IV bolus injection.

The following examples further illustrate the invention, which is in no way, however, to be construed as limited thereto.

#### Examples 1-4 Nanoparticulate Piposulfan

##### Example 1

Piposulfan (purchased from Eastman Kodak) was milled in a mixture of 0.33% polyoxyethylene sorbitan monooleate, Tween 80, (ICI Americas Inc., Wilmington DE) and 0.67% sorbitan monooleate, Span 80, (ICI) using 1 mm zirconium oxide beads for about 96 hours to produce particles approximately 240 nm in diameter. The final piposulfan concentration in the suspension was 10 mg/ml. The particles were stable to flocculation/aggregation in rat plasma.

**Milling Conditions:** A coarse suspension of piposulfan was prepared by adding 300 mg of the drug to a 4 oz. (120 ml) amber bottle which was previously filled with 60 ml of 1 mm precleaned zirconium oxide beads (Zircoa Inc., Solon OH) and 30 ml 1% Tween 80/Span 80 (1 to 2 ratio) solution. The surfactant solution was prepared by accurately weighing 333 mg Tween 80 and 667 mg Span 80 in a volumetric flask followed by addition of sterile water for injection to dissolve/disperse the surfactants. Sufficient quantity of water was added to make the final volume 100 ml. Zirconium oxide beads were cleaned by first rinsing in 1N sulfuric acid followed by several rinses with deionized water. The media was dried in a vacuum oven at about 100 °C overnight.

The sealed primary container was loaded into a secondary padded aluminium containment can to ensure a tight fit. It was milled on a roller mill (US Stoneware, Mawah, NJ) at 144 RPM for about 96 hours. At the end of the milling time the slurry was separated from the media and particle size was measured using a PCS device. Stability of these particles to rat plasma was assessed by optical microscopy at 1000 X magnification. The final pH of the formulation was 6.

**Control A (unmilled)** A coarse suspension containing 40 mg bulk piposulfan was dispersed in water in the presence of 3% ethanol and 1% Tween 80. This suspension could not be injected IV.

Example 1 was evaluated for efficacy studies in female mice (average weight 22 g) which were implanted with early stage Mammary Adenocarcinoma # 16/C on day 0. The formulation was injected starting from day 1 for several days. The antitumor activity was assessed by monitoring tumor weight and comparing it to the control animals. The results were as follows:

	Route	Total	% Wt.	T/C	Log10
Treatment	of Adm.*	Dose (mg/kg)	Loss	%	Cell Kill
5 Control	----	---	+5.5	---	----
Example 1	IV	356	-5.5	0	2.75
(243 nm)	IV	220	-5.5	2	2.75
	IV	137	-1.8	2	2.25
10	IV	85	0	18	1.0
Control A	SC	800	-10.8	0	2.1

15 \* Administration - IV= Intravenous; SC= Subcutaneous  
 Example 1 could be injected directly as a  
 10 mg/ml suspension. There was no acute toxicity after  
 20 injection of 78 mg/kg single dose.

T/C =  $\frac{\text{Tumor weight in treated animals}}{\text{Tumor weight of control animals}}$  as % value.

25 Lower value indicates better efficacy,  
 0% suggests cures, <10% is considered highly active,  
 10 to 42% is a moderately active formulation,  
 30 >42% is considered inactive.

Log Kill =  $(T-C)/3.32 (Td)$ , where  
 T is the time in days for the median tumor to reach 1000 mg mass in treated animals,  
 C is the time in days for the median tumor to reach 1000 mg in control animals and  
 Td is the tumor volume doubling time in days.

35 Cures (tumor free animals) are excluded from (T-C) calculations.

Example 1 demonstrates that a composition of this invention exhibited reduced toxicity and enhanced efficacy compared to a prior art composition and could be administered by IV bolus injection.

#### Examples 2-4

40 The milling procedure described in Example 1 was repeated except that the ratio of Tween 80 to Span 80 was 2:1. The resulting average particle size was 297 nm.

The milling procedure described in Example 1 was repeated except that the ratio of Tween 80 to Span 80 was 1:1. The resulting average particle size was 380 nm.

45 The milling procedure described in Example 1 was repeated except that the surface modifier was a 1:1 ratio of Tween 60 and Span 60. The resulting average particle size was 301 nm.

Stable pipsulfan nanoparticles were also prepared using bovine serum albumin as the surface modifier.

#### Examples 5-7 Nanoparticulate Camptothecin

##### 50 Example 5

Approximately 60 ml precleaned zirconium oxide beads (1 mm) were placed in a 120 ml wide mouth round amber bottle. To it was added 0.35 g of Tetronic 908 (BASF) followed by 0.35 g Camptothecin  
 55 (Sigma Chemicals, 95% pure). To the above mixture, 35 ml water for injection (Abbott) was added. The bottle was sealed and mounted on a roller mill. Milling was effected by rotating the bottle at 100 RPM for 7 days.

At the end of milling, an aliquot (100 µl) was checked for particle size using a Malvern Zetasizer. The particles were determined to have an average particle size of 240 nm.

#### Example 6

Example 5 was repeated except that the Tetronic 908 was replaced by PVA (MW 30 to 70 K). The final particle size was 256 nm.

#### Example 7

Example 5 was repeated except that Tetronic 908 was replaced by gum acacia. The final particle size was 298 nm.

Nanocamptothecin formulations were evaluated for efficacy in two murine tumor models, i.e., Mammary Adenocarcinoma #16/C and Pancreatic Ductal Adenocarcinoma #03. The antitumor activity was assessed by monitoring tumor weight from experimental and control animals.

#### 1. Efficacy Studies in Pancreatic Ductal Adenocarcinoma #03:

Example	Route	Dose mg/kg	Wt%.Loss	Drug Deaths	T/C %
Control B	SC	60	-24.1	6/6	-
	SC	40.2	-21.8	5/5	-
	SC	26.7	-18.2	5/5	-
	SC	18	-10.9	1/5	62
6	IV	83.1	-16.7	1/4	14
	IV	78.2	-14.6	1/4	55
	IV	48.6	- 8.3	0/4	0
	IV	24.3	- 4.2	0/4	18
PVA Control	IV	----	+ 6.3	0/4	100
7	IV	93.5	-16.7	1/4	7
	IV	46.8	-14.6	0/4	17
	IV	23.4	- 8.3	0/4	11
Gum Acacia Control	IV	-----	0.0	0/4	60

The control B formulation consisted of 1% coarse camptothecin in 3% ethanol, and 1% Tween 20. Control B could only be administered subcutaneously and even at the lowest SC dose (18 mg/kg) was inactive. Control B was toxic to 1/5 animals tested. In contrast, doses of the nanocamptothecin formulations of this invention ranging from 24-93 mg/kg were administered IV and were shown to be safe and efficacious.



## 2. Efficacy Studies in Mammary Adenocarcinoma #16/C Murine Tumor Model

5	Example	Route	Dose mg/kg	%Wt Loss	Drug Deaths	T/C %
	Control B	SC	60	-23.5	5/5	-
		SC	30	-20.9	5/5	-
		SC	15	-18.3	3/5	14**
10	5	IV	65	-17.4	0/5	23
		IV	33	-18.7	1/5	33
		IV	16	- 2.2	0/5	63
	T908 Control	IV	--	+ 4.3	0/2	100
15	6	IV	65	-21.7	5/5	-
		IV	33	-15.7	0/5	100
	PVA Control	IV	--	+ 4.3	0/2	100
20	The T908 and PVA controls consisted of 1% aqueous solutions of the respective surface modifiers. The Control B was injected subcutaneously, and it was toxic at all doses tested. Efficacious doses of the nanocamptothecin formulations of this invention were administered intravenously.					

\*\* % T/C for the control animals was determined from the number(N) of the animals surviving in the sample. In this particular case N= 2.

## 3. Blood and Tumor Clearance

To determine if the increased efficacy was related to alterations in pharmacokinetic properties, blood clearance and tumor distribution were studied in the Mammary adenocarcinoma #16/C murine tumor model.

Tumor bearing mice were injected via the tail vein with 10 mg/ml camptothecin, formulated as described in Examples 5 and 6, and a control which was 5 mg/ml camptothecin solubilized by the addition of 0.1 N NaOH. At various times after injection, i.e. 5 min., 30 min., 60 min., 2 hrs., 4 hrs., 8 hrs., 16 hrs., 24 hrs. and 48 hrs., animals were euthanized and a blood sample was collected and the tumor excised. Concentrations of drug samples were quantified using HPLC. Results show that the compositions of this invention affect the clearance of the drug from the circulating pool of blood and the tumor.

T1/2 Blood and Tumor		
Formulation	Blood	Tumor
Example 6	18 hrs.	> 48 hrs.
Example 5	13 hrs.	> 48 hrs.
Control	1.6 hrs.	13.5 hrs.

When formulated in accordance with this invention, the elimination half-life and the residence time of camptothecin in the tumor were prolonged significantly. It was concluded that pharmacokinetic parameters of the nanoparticulate formulation of camptothecin are directly related to the improved performance of the drug.

## Examples 8-10 Nanoparticulate Etoposide

### Example 8

Example 5 was repeated except that 1.7 g etoposide was combined with 1.7 g PVA and the milling time was 14 days. The final particle size was 310 nm. The particles were stable in acid and plasma.

Example 9

Example 8 was repeated except that the PVA was replaced by Pluronic F-108 (BASF). The final particle size was 312 nm. The particles were stable in acid and plasma.

Example 10

Etoposide (2%) was milled in sterile water for 7 days. A 1:1 mixture of the milled slurry was prepared with 2% Pluronic F127 solution. The mixture was vortexed before measuring particle size. The final size was 277 nm. The slurry was stable in simulated gastric fluid, PBS (pH 7.4) and rat plasma.

Efficacy Studies

Nanoetoposide formulations were evaluated in two separate efficacy studies in pancreatic ductal adenocarcinoma #03 (PANC #03). Control C was a 2% non-aqueous etoposide solution prepared using the formula described on pages 741-743 of the 46th Edition of the Physicians' Desk Reference. As described above, antitumor activity was assessed by monitoring tumor weight from experimental and control animals. These studies demonstrate that the etoposide compositions of this invention provide a means to deliver high doses of the drug without evidence of severe toxic reaction.

1. Efficacy Studies for Nanoetoposide in PANC #03 Murine Tumor Model

Example	Route	Dose mg/kg	%Wt Loss	Drug Deaths	T/C %
Control C	IV	120	-24.0	0/5	4.0
	IV	75	- 4.0	0/5	20.0
7	IV	160	-12.0	0/5	18.0
	IV	100	0.0	0/5	32.0
	IV	62	2.0	0/5	42.0
8	IV	160	-12.0	0/5	26.0
	IV	100	+ 2.0	0/5	35.0
	IV	62	+ 4.0	0/5	35.0
9	IV	170	-18.5	1/5	16
	IV	85	- 2.0	0/5	35
	IV	43	+ 2.5	0/5	41

Examples 11-16 Nanoparticulate TaxolExample 11

Approximately 18 ml precleaned zirconium oxide media (1 mm) was added to a 30 ml amber jar. To it was added 240 mg taxol (Sigma Chemicals) and 180 mg Tween 20. Finally, 12 ml water for injection was added to the jar, it was sealed and mounted on a roller mill for 11 days. The final particle size was 327 nm. The formulation was stable when exposed to PBS (pH 7.4) and rat plasma.

Example 12

Example 11 was repeated except that the Tween 20 was replaced with PVA (MW 30 to 70 k). The final particle size was 365 nm.

The above samples were evaluated in efficacy studies in mice bearing early stage mammary adenocarcinoma #16/C. The antitumor activity was assessed by comparing tumor weights of taxol treated animals with the tumor weights of untreated animals. The toxicity was assessed by dose ranging studies with death and weight loss as end points. All samples were injected IV.

Example	Dose mg/kg	%Wt Loss	Deaths	Median Tumor on Day 11	T/C %
Control D	----	+ 6.1	----	1539 mg	--
Example 11	108.5	- 1.5	0/5	1528	13
Example 12	108.5	- 3.0	0/5	201	99

A control sample of taxol (NCI) was not available. However, a single dose of taxol formulated in Cremophore EL causes immediate deaths at 25 mg/kg total dose. However, taxol formulated in compositions of this invention could be injected at a dose of 88 mg/kg with no apparent adverse effects.

A taxol suspension prepared in a manner similar to Example 11 was treated separately with several surface modifiers. After addition of the new surface modifier the mixture was vortexed and evaluated for particle size and fluid stability. All the suspensions contained 1% taxol and 0.75% Tween 20. The results are as follows.

Example/Surface Modifier	Concentration %	Size(nm)	Fluid Stability	
			PBS	Rat Plasma
13 CTAC	0.25	364	OK	Flocculation
14 AOT	0.25	322	SA*	SA
15 F68	0.5	297	SA/OK	SA/OK
16 T908	0.5	313	SA/OK	SA/OK

\*SA = Slight Aggregation

#### Examples 17-18 Nanoparticulate 1,2,4-benzotriazin-7-amine 1,4-dioxide

Approximately 60 ml precleaned zirconium oxide (1 mm) media was transferred into a 4 oz amber jar. It was followed by addition of 1.5 g 1,2,4-benzotriazin-7-amine 1,4-dioxide and 28.5 ml water for injection. The jars were sealed, loaded onto a roller mill and cascaded at 95 RPM for 48 hrs. PCS analysis determined the particle size to be 322 nm, however, the presence of larger particles was suggested. Milling was continued for 5 additional days.

Studies were conducted by mixing 0.5 ml of the benzotriazinamine dioxide slurry prepared above with 0.5 ml 6% surface modifier solutions. The final concentration of the drug was 2.5% and that of the surface modifiers was 3%. The surface modifier stabilized nanosuspensions of benzotriazinamine dioxide were then treated with either PBS (pH 7.0) or 0.1 N HCl (pH 1). Optical microscopic observations were made to determine fluid stability. The results are as follows;

Example	Surface Modifier	Stability		Human Plasma
		pH 1	pH 7	
17	PVP (12K) (BASF)	Fine	Fine	Fine
18	Gum Acacia (Aldrich)	----	SA/OK	SA/OK

It was concluded that stable nanoparticles of 1,2,4-benzo-triazin-7-amine 1,4-dioxide could be prepared.

#### Examples 19-22 Nanoparticulate 1,2,4-benzotriazin-3-amine 1,4-dioxide

7.5 ml precleaned zirconium oxide media (1 mm) was transferred into a 15 ml amber jar along with 18.75 mg 1,2,4-benzotriazin-3-amine 1,4-dioxide and 3.75 ml water. After 11 days of milling the nanosuspension was separated from the media. To each of the 100  $\mu$ l aliquots of the suspension, 100  $\mu$ l of a surfactant solution (2%) was added giving a final concentration of 0.25% drug and 1% surfactant. The mixture was vortexed and analyzed for particle size. Fluid stability was assessed microscopically by mixing 10  $\mu$ l of the suspension with 90  $\mu$ l of rat plasma. The results are as follows:

Example	Surface Modifier	Particle Size(nm)	Fluid Stability Rat Plasma
19	PVP (12K)	134	Fine
20	Gum Acacia	344	SA/OK
21	Tween 80	128	Fine
22	T908	130	Aggregates

#### Example 23 Nanoparticulate Retinoic Acid

30 ml precleaned zirconium oxide media was transferred to a 60 ml amber jar. To it was added 1 g transretinoic acid (Sigma), 470 mg tyloxapol and 15 ml water. The mixture was milled on a roller mill for 15 days. The final particle size was 140 nm. The nanosuspension was stable when exposed to either rat plasma or simulated gastric fluid.

#### Claims

- Particles consisting essentially of a crystalline anticancer agent having a surface modifier adsorbed on the surface thereof in an amount sufficient to maintain an average effective particle size of less than about 1000 nm.
- Particles as claimed in claim 1 having an average effective particle size of less than about 400 nm.
- Particles as claimed in claim 1 having an average effective particle size of less than about 300 nm.
- Particles as claimed in any one of the preceding claims wherein the anticancer agent is in combination with one or more other anticancer agents.
- Particles as claimed in any one of the preceding claims wherein said surface modifier is present in an amount of 0.1 to 90% by weight.
- Particles as claimed in any one of the preceding claims wherein said surface modifier is selected from the group consisting of polyvinyl alcohol, polyvinylpyrrolidone, tyloxapol, a tetrafunctional block copolymer derived from sequential addition of ethylene oxide and propylene oxide to ethylenediamine, gum acacia, a block copolymer of ethylene oxide and propylene oxide, a polyoxyethylene sorbitan fatty acid ester, a sorbitan ester of a fatty acid, hexyldecyl trimethyl ammonium chloride and a dioctyl ester of sodium succinic acid.
- Particles as claimed in any one of the preceding claims wherein the surface modifier is in combination with one or more surface modifiers.
- Particles as claimed in any one of the preceding claims wherein said anticancer agent is selected from the group consisting of pipsulfan, pipsulfam and camptothecin.
- Particles as claimed in any one of the preceding claims wherein said anticancer agent is pipsulfan and said surface modifier comprises in combination a polyoxyethylene sorbitan fatty acid ester and a sorbitan ester of a fatty acid.
- Particles as claimed in any one of claims 1 to 8 wherein said anticancer agent is pipsulfam and said surface modifier is polyvinylalcohol.
- Particles as claimed in any one of claims 1 to 8 wherein said anticancer agent is pipsulfam and said surface modifier is gum acacia.
- Particles as claimed in any one of claims 1 to 8 wherein said anticancer agent is camptothecin and said surface modifier is polyvinyl alcohol.

13. Particles as claimed in any one of claims 1 to 8 wherein said anticancer agent is camptothecin and said surface modifier is a tetrafunctional block copolymer derived from sequential addition of ethylene oxide and propylene oxide to ethylenediamine.
- 5 14. Particles as claimed in any one of claims 1 to 8 wherein said anticancer agent is camptothecin and said surface modifier is gum acacia.
15. Particles as claimed in any one of claims 1 to 7 wherein said anticancer agent is selected from the group consisting of etoposide, taxol, retinoic acid, 1,2,4-benzotriazin-3-amine 1,4-dioxide and 1,2,4-benzotriazin-7-amine 1,4-dioxide.
- 10 16. Particles as claimed in any one of claims 1 to 7 and 15 wherein said anticancer agent is etoposide and said surface modifier is polyvinyl alcohol.
- 15 17. Particles as claimed in any one of claims 1 to 7 and 15 wherein said anticancer agent is etoposide and said surface modifier is a block copolymer of ethylene oxide and propylene oxide.
18. Particles as claimed in any one of claims 1 to 7 and 15 wherein said anticancer agent is etoposide and said surface modifier is gum acacia.
- 20 19. Particles as claimed in any one of claims 1 to 7 and 15 wherein said anticancer agent is taxol and said surface modifier is polyvinyl alcohol.
- 25 20. Particles as claimed in any one of claims 1 to 7 and 15 wherein said anticancer agent is taxol and said surface modifier is a polyoxyethylene sorbitan fatty acid ester.
- 30 21. Particles as claimed in any one of claims 1 to 7 and 15 wherein said anticancer agent is taxol and said surface modifier comprises a polyoxyethylene sorbitan fatty acid ester in combination with a surface modifier selected from the class consisting of hexyldecyl trimethyl ammonium chloride; a dioctyl ester of sodium succinic acid; a block copolymer of ethylene oxide and propylene oxide; or a tetrafunctional block copolymer derived from sequential addition of ethylene oxide and propylene oxide to ethylene diamine.
- 35 22. Particles as claimed in any one of claims 1 to 7 and 15 wherein said anticancer agent is 1,2,4-benzotriazin-7-amine 1,4-dioxide and said surface modifier is polyvinylpyrrolidone.
23. Particles as claimed in any one of claims 1 to 7 and 15 wherein said anticancer agent is 1,2,4-benzotriazin-7-amine 1,4-dioxide and said surface modifier is gum acacia.
- 40 24. Particles as claimed in any one of claims 1 to 7 and 15 wherein said anticancer agent is 1,2,4-benzotriazin-3-amine 1,4-dioxide and said surface modifier is polyvinylpyrrolidone.
25. Particles as claimed in any one of claims 1 to 7 and 15 wherein said anticancer agent is 1,2,4-benzotriazin-3-amine 1,4-dioxide and said surface modifier is gum acacia.
- 45 26. Particles as claimed in any one of claims 1 to 7 and 15 wherein said anticancer agent is 1,2,4-benzotriazin-3-amine 1,4-dioxide and said surface modifier is polyoxyethylene sorbitan fatty acid ester.
- 50 27. Particles as claimed in any one of claims 1 to 7 and 15 wherein said anticancer agent is 1,2,4-benzotriazin-3-amine 1,4-dioxide and said surface modifier is a tetrafunctional block copolymer derived from sequential addition of ethylene oxide and propylene oxide to ethylene diamine.
28. Particles as claimed in any one of claims 1 to 7 and 15 wherein said anticancer agent is retinoic acid and said surface modifier is tyloxapol.
- 55 29. An anticancer composition comprising the particles as claimed in any one of the preceding claims.

30. The use of the particles or a composition thereof as claimed in any one of the preceding claims for the preparation of a medicament for the treatment of cancer.

5 31. The use of the particles or a composition thereof as claimed in claim 30 wherein the efficacy of the anticancer agent is increased

32. The use of the particles or a composition thereof as claimed in either of claims 30 and 31 wherein the toxicity of the anticancer agent is reduced.

10

15

20

25

30

35

40

45

50

55



European Patent  
Office

## EUROPEAN SEARCH REPORT

Application Number

EP 93 20 1883

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl.5)
P,X, L	EP-A-0 499 299 (STERLING WINTHROP INC.) * the whole document * * page 4, line 1 - line 2 * * page 12; examples 25-36 * (the priority claim of the present application might not be justified) ---	1-32	A61K9/14 A61K9/51
X	EP-A-0 262 560 (ISHIHARA SANGYO KAISHA LTD.) * the whole document * ---	1-32	
A	WO-A-9 106 292 (DANOCHEMO)  * page 2, line 37 - page 5, line 22 * ---	11,14, 18,23, 24,25,28	
A	FR-A-2 118 987 (SUMITOMO CHEMICAL COMPANY LIMITED) * page 1, line 1 - line 36 * * page 5, line 23 - page 7, line 6 * * page 9, line 4 - page 14, line 9 * ---	1-32	TECHNICAL FIELDS SEARCHED (Int. Cl.5)
X	WO-A-9 015 593 (YTKEMISKA INSTITUTET) * the whole document * * page 5, left column, line 11 * * page 6, right column, line 4 * * page 6, right column, line 9 * ---	1-7	A61K
A	WO-A-9 203 380 (THE UNIVERSITY OF ROCHESTER) * page 5, line 30 - line 33 * * page 6, line 23 - line 32 * * page 24; example 17 * & US-A-4 826 689  -----	1-7	
D,A			
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 01 OCTOBER 1993	Examiner BENZ K.F.
CATEGORY OF CITED DOCUMENTS			
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons * : member of the same patent family, corresponding document			